



# TECHNICAL SPECIFICATION



---

## Smart grid user interface – Part 2: An architecture and requirements

INTERNATIONAL  
ELECTROTECHNICAL  
COMMISSION

---

ICS 33.200

ISBN 978-2-8322-6229-0

**Warning! Make sure that you obtained this publication from an authorized distributor.**

## CONTENTS

FOREWORD.....	3
1 Scope.....	6
2 Normative references .....	6
3 Terms, definitions and abbreviated terms .....	6
3.1 Terms and definitions.....	6
3.2 Abbreviated terms.....	7
4 Objectives .....	8
5 Reference architecture .....	8
5.1 General.....	8
5.2 Conceptual model.....	10
5.3 Layered structure.....	11
6 Requirements for implementation .....	13
6.1 Message transmission .....	13
6.2 Data transformation .....	13
6.3 Communication protocol mapping .....	14
6.4 Monitoring and management.....	14
6.5 Other requirements .....	14
Bibliography.....	15
Figure 1 – Relationship with other IEC standards in parts of TC57 reference architecture .....	9
Figure 2 – Application examples for applying SGUI.....	11
Figure 3 – Layered structure .....	12

# INTERNATIONAL ELECTROTECHNICAL COMMISSION

---

## SMART GRID USER INTERFACE –

### Part 2: An architecture and requirements

#### FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

The main task of IEC technical committees is to prepare International Standards. In exceptional circumstances, a technical committee may propose the publication of a technical specification when

- the required support cannot be obtained for the publication of an International Standard, despite repeated efforts, or
- the subject is still under technical development or where, for any other reason, there is the future but no immediate possibility of an agreement on an International Standard.

Technical specifications are subject to review within three years of publication to decide whether they can be transformed into International Standards.

IEC TS 62939-2, which is a Technical Specification, has been prepared by IEC project committee 118: Smart grid user interface.

The text of this Technical Specification is based on the following documents:

Enquiry draft	Report on voting
118/93/DTS	118/97A/RVDTS

Full information on the voting for the approval of this technical specification can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 62939 series, published under the general title *Smart grid user interface*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this publication may be issued at a later date.

**IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.**

## INTRODUCTION

Over the years, several ecosystems (especially telecommunications, sustainable energy, home automation) have been growing in parallel but separately in the customer premises. The perspective of energy applications is triggering a high level of interest in new markets such as smart home, smart community, smart building, smart industrial park, distributed energy resources, and electric vehicles. It is a growing trend that the traditional energy consumer may eventually turn out to be the prosumer, who not only consumes power from but also feeds power back to the grid, which raises the challenge for grid management.

Considering the relevance and common interests while connecting various demand-side objects with the power grid, it is urgent and important to ensure effective, economical and secure operation of the power grid from the point of view of a user as well as enhance the energy efficiency of the demand-side system and equipment. Under the circumstances, information exchange may play a more critical role in this field. Currently, various communication standards have been developed by organizations and manufacturers for customer facility management and control. However, the industry has become impatient with the lack of standard interfacing methods and solutions to exchange information with the grid.

This document focuses on standardization in the field of interfacing for information exchange between smart equipment and/or systems and the grid from the point of view of the user to the grid for customer facility management and control applications.

IEC 62939 consists of the following parts under the general title *Smart grid user interface*:

Part 1: Interface overview and country perspectives

Part 2: An architecture and requirements

In addition to the above parts, two documents in the IEC 62746 series cover the SGUI bridge standard for demand response application. The first is IEC PAS 62746-10-1 and the other is IEC 62746-10-3.

## **SMART GRID USER INTERFACE –**

### **Part 2: An architecture and requirements**

#### **1 Scope**

This part of IEC 62939 provides an architecture to define interfaces for the information exchange between smart equipment/systems from the demand side and the power grid. It facilitates the interoperability between the IEC common information model (CIM) and customer facility standards for smart grid applications.

This document presents one possible architecture to connect non-CIM/IEC 61850-based demand-side standards to the CIM, to support demand response type applications. It presents an immediately available architecture approach for home and building grid users for demand response applications to cope with the fragmented market and lack of harmonized standard solutions.

It proposes that a three-layer application be implemented but this does not preclude the ongoing long-term efforts of IEC ideally to promote from a semantic perspective only two-layer implementations.

#### **2 Normative references**

There are no normative references in this document.